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STATEMENT UNDER 37 CFR 3.73(b)

#16
9-10-02

Applicant: Agere Systems Guardian Corp.

Application No.: 09/192,651

Filed: November 16, 1998

Entitled: COMBINATION CLOCK AND CHARGE PUMP FOR LINE POWERED DAA

Agere Systems Guardian Corp., a

corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: all of the inventors To: Lucent Technologies, Inc.
The document was recorded in the Patent and Trademark Office at
Reel 9607, Frame 0509, or for which a copy thereof is attached.
2. From: Lucent Technologies, Inc. To: Agere Systems Guardian Corp.
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

August 22, 2002
Date

Mark D. Simpson
Signature

Mark D. Simpson

Typed or printed name

Attorney for Applicant

Title

COPY OF PAPERS
ORIGINAL FILED

EXECUTION COPY

PATENT ASSIGNMENT

by and between

LUCENT TECHNOLOGIES INC.

and

AGERE SYSTEMS GUARDIAN CORP.

Dated as of January 30, 2001

PATENT ASSIGNMENT

THIS PATENT ASSIGNMENT (this "Assignment"), effective as of January 30, 2001 (the "Effective Date"), is by and between Lucent Technologies Inc., a Delaware corporation, with offices at 600 Mountain Avenue, Murray Hill, New Jersey 07974, United States of America, ("ASSIGNOR") and Agere Systems Guardian Corp., a Delaware corporation, with offices at 555 Union Boulevard, Allentown, PA 18109, United States of America ("Agere Systems Guardian").

RECITALS

A. WHEREAS, the Board of Directors of ASSIGNOR has determined that it is in the best interests of ASSIGNOR and its stockholders to separate ASSIGNOR's existing businesses into two independent businesses;

B. WHEREAS, ASSIGNOR presently owns or controls certain patents, patent applications, and invention submissions listed in the attached Appendices A and B (hereinafter "TRANSFERRED PATENTS") and;

C. WHEREAS, in furtherance of the foregoing separation, ASSIGNOR desires to transfer, assign, convey, deliver and vest all of its interests and rights in TRANSFERRED PATENTS for all countries, jurisdictions and political entities of the world, to and in Agere Systems Guardian;

NOW, THEREFORE, in consideration of the premises and for other good and valid consideration, the receipt and sufficiency of which are hereby acknowledged, the parties, intending to be legally bound, agree as follows:

ASSIGNOR, subject to existing rights and licenses of third parties, does hereby assign, convey, transfer and deliver, and agrees to assign, convey, transfer and deliver to Agere Systems Guardian, its successors, assigns and legal representatives or nominees, ASSIGNOR's entire right, title and interest, for all countries, jurisdictions and political entities of the world, along with the right to sue for past infringement, to all TRANSFERRED PATENTS listed on Appendices A and B, and corresponding counterpart foreign patents and patent applications, with respect to which, and to the extent to which, ASSIGNOR now has or hereafter acquires the right to so assign, convey, transfer and deliver. Agere Systems Guardian recognizes that ASSIGNOR holds only bare legal title to the TRANSFERRED PATENTS listed in Appendix A (which lists the United States Patents and patent applications previously exclusively licensed to Lucent Technologies Microelectronics Guardian Corp.).

ASSIGNOR and ASSIGNEE recognize that the patents listed in Appendices A and B may inadvertently include patents that are owned by various subsidiaries of ASSIGNOR, including Agere, Inc., Ortel Corporation, Optimay Corporation, Herrmann Technology, Inc., and Enable Semiconductor, Inc. Ownership of such patents shall not be affected by this Patent

Assignment, and ASSIGNEE agrees that any such patents shall be deemed deleted from Appendices A and B.

ASSIGNOR agrees that, upon request it will, at any time without charge to Agere Systems Guardian, but at Agere Systems Guardian's expense, furnish all necessary documentation relating to or supporting chain of title, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for vesting title to TRANSFERRED PATENTS in Agere Systems Guardian, its successors, assigns and legal representatives or nominees; including but not limited to any acts which may be necessary, desirable or convenient for claiming said rights and for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in Agere Systems Guardian and its respective successors, assigns and legal representatives or nominees.

Execution Copy

IN WITNESS WHEREOF, the parties have caused this PATENT ASSIGNMENT to be executed by their duly authorized representatives as of the Effective Date.

LUCENT TECHNOLOGIES INC.By: 

Daniel P. McCurdy

President, Intellectual Property Business

AGERE SYSTEMS GUARDIAN CORP.By: 

Fred M. Romano

President

Execution Copy

ACKNOWLEDGMENTS

STATE OF NEW JERSEY)

: ss:

COUNTY OF SOMERSET)

I CERTIFY that on January 30, 2001, Daniel P. McCurdy personally came before me and this person acknowledged under oath, to my satisfaction that:

a.) this person signed, sealed and delivered the attached Patent Assignment as President - Intellectual Property Business of Lucent Technologies Inc.; and

b.) this Patent Assignment was signed and made by Lucent Technologies Inc. as its voluntary act and deed by virtue of authority from its Board of Directors.



Name

TAMORA ANNE HANNA

Notary Public

Notary Public of New Jersey

My Commission Expires ~~Registered in Hunterdon County~~

[Notarial Seal]

My Commission Expires March 25, 2002

STATE OF FLORIDA)

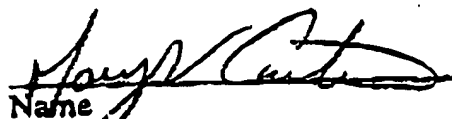
: ss:

COUNTY OF ORANGE)

I CERTIFY that on January 31, 2001, Fred M. Romano personally came before me and this person acknowledged under oath, to my satisfaction that:

a.) this person signed, sealed and delivered the attached Patent Assignment as Vice President of Agere Systems Guardian Corp. ; and

b.) this Patent Assignment was signed and made by Agere Systems Guardian Corp. as its voluntary act and deed by virtue of authority from its Board of Directors.



Name

Notary Public

My Commission Expires:

[Notarial Seal]



APPENDIX B (continued)
Transferred Patents

Case Name	Filing Date	Issue Date	Patent No.	Serial No.
Fritzinger 8-1-1	1/20/1998		09/009399	
Fritzinger 9-5-2-5-14	11/23/1999			09/447893
Frye 23-4-81	3/2/1999			09/261093
Frye 25-1	8/4/1999	8/1/2000	6097273	09/368841
Fryer 1-1	10/12/1999	8/22/2000	6107789	09/416033
Fryer 2-2	10/12/1999			09/416484
Fuehrer 2-9-24-11	11/16/1998			09/182851
Fulmer 1-1-1-7	1/31/2000			09/485154
Fulmer 2-1-1	1/10/2000			09/480014
Gabara 39-48	4/9/1997		08/838536	
Gabara 44-8-11	4/23/1998		09/069255	
Gabara 45	5/29/1998		09/087538	
Gabara 47	5/22/1998		09/083172	
Gabara 48	8/14/1998		09/134802	
Gabara 49-5	8/29/1998		09/106284	
Gabara 51	8/25/1998	8/8/2000	09/139300	6100743
Gabara 52	9/24/1999			09/405247
Gabara 54	12/28/1998			09/221264
Gabara 57-5	9/29/1998		09/162852	
Gabara 58	9/29/1998		09/162851	
Gabara 59	10/13/1998			09/170166
Gabara 61	12/28/1998			09/221283
Gabara 62	8/26/1998		09/139299	
Gabara 67	1/28/1999			09/236675
Gabara 69-1	11/10/1999			09/437273
Gabara 72-12	5/14/1999			09/311589
Gabara 73-1	8/13/1999			09/374258
Gabara 74-13	7/29/1999			09/363524
Gabara 75-12-3	6/7/2000			09/589391
Gabara 76-3-14-66	11/29/1998			09/450524
Gabara 77	12/1/1999			09/452611
Gabara 78	8/25/2000		09/648162	
Gabor 1-21-18	3/12/1999			09/268448
Galvin-Donoghue 6-3	9/18/1995		09/529775	
Gammel 13-58	3/26/1999			09/280804
Gammel 18-47-1-57	8/30/2000		09/651696	
Garcia 1-2	3/16/2000			09/527010
Garner 6-3	6/4/1998	4/18/2000	6052030	
Gaudel 1	4/13/1999			09/290764
Gaudel 2	4/13/1999			09/290803
Genetti 1-1	3/17/2000			09/528071
Geva 5-1-3-20	3/31/2000			09/540474
Giannuzzi 2-13-7	5/9/2000			09/567575
Giannuzzi 3-18-12	5/9/2000			09/567373
Giannuzzi 4-20-13	5/9/2000			09/567359
Gibson 3-12-2-18-10	8/30/1999			09/385713
Gibson 4-13-3-17-11	8/30/1999			09/385714
Gibson 6-28-5-20-15	5/18/2000			09/575214
Glas 2	9/25/1998		09/161184	
Glas 3-6	12/23/1998	4/11/2000	6049248	09/220980
Glogovsky 4	11/5/1998	02/29/00	6030803	
Glogovsky 5	8/3/1999	8/22/2000	6107110	09/368250
Gludemans 2-1-1	12/2/1998			09/203974
Goeddel 10-7-12-1-7	6/10/1999			09/329399
Golden 18-5-11-24	5/2/1997		08/850898	
Golden 17-8-12-25	5/2/1997		08/850738	
Goldovsky 1-17	5/15/1998		09/079842	
Goldovsky 2-20	8/20/1998		09/137380	
Goldovsky 5-12	3/15/2000			09/525844
Goldovsky 6-1	5/11/2000			09/568022